



Sudan
Humanitarian
Fund

SHF 2016 Allocation Process

March 2016





Sudan
Humanitarian
Fund

Agenda

1. Introduction

2. SHF Fund Allocation Modalities
 1. Standard Allocation Workflow
 2. Scoring Criteria
 3. Reserve Allocation Workflow**Q&A**

3. Concept Note, Proposal & Budget
 1. GMS Quick Tour
 2. Concept Note “vs” Proposal
 3. Proposal Template
 4. Budget Template**Q&A**



Sudan
Humanitarian
Fund

Introduction

SHF 2016 First Allocation Overview



Allocation Paper Guiding Principles & Strategies

1. Humanitarian principles
2. Accountability to Affected Populations
3. Do No Harm
4. Gender mainstreaming
5. Environmental Impact
6. Ensuring Value for Money
7. Sustainable project strategies
8. Multi-sectoriality
9. Equal partnerships – consortiums



Multi-Sector Projects

Aim: To promote synergy, enhance value for money, and increase impact

- Partners from different sectors addressing a number of common locations that are high priority for all
- One agency submitting a multi-sector response in a common location
- Funding for each sector will be allocated from its respective sector envelope, therefore the project must be looked at by those different sectors

Equal Partnerships – Consortiums

Partnerships between NGOs will be prioritized by SHF to enhance coordination, reduce transaction costs. “Lead Provider” will submit the project, subcontract the partners and is accountable.

Conditions are:

- Indirect costs are *equally shared*
- “Lead Provider” must have experience in working with other partners , that must be identified at the time of project submission
- “Lead Provider” may allocate additional management cost
- Steering Group must be created to ensure quarterly follow up with consortium partners
- IASC SCs are invited to lead the establishment of this consortia

Education Sector Overview

Overall Envelope: \$1,900,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

North Darfur: Zam Zam camp

Blue Nile: El Roseires

East Darfur: Abu Karinka, Adila

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

Health Sector Overview

Overall Envelope: \$3,650,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

North Darfur: El Fasher (including Zamzam Camp), Dar El Salam, El Sireaf, Mellit, Saraf Omra

Central Darfur: Bindisi, Zalingei, Umm Dukhun, Azum, Mukjar

East Darfur: Abu Karinka, Ed Daein, Yassin, El Ferdous

West Darfur: Kereinik, El Geneina, Beida, Kulbus

South Darfur: Bielel, Niteaga, El Salam, Gereida, Ed El Fursan, Katayla, Nyala North, Kalma Camp

Blue Nile and Kordofans: Bau, El Kurmuk, El Roseires, Abyei

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

FSL Sector Overview

Overall Envelope: \$2,840,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

North Darfur: Dar El Salam, Mellit, Umm Keddada, El Kuma, Kalimendo for activity 1, 2 and 3. Um Barru for activity 1, 2, 3 and 4.

Central Darfur: For the jointly prioritised localities in Central Darfur, activities 1 and 2 are needed.

East Darfur: Yassin for activity 1 and 2.

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

ESNFI Sector Overview

Overall Envelope:\$2,450,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

North Darfur: Mellit (Abassi Camp), Dar El Salam (Um Derasia IDP Gathering, Shadad IDP Camp, Shangal Tobey IDP camp), Zamzam camp, Um Baru

South Darfur: Um Dafog, Rahad El Berdi, Niteaga

East Darfur: Ed Daein

Blue Nile State: Bau, El Kurmuk, EL Roseires

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

Education Sector Overview

Overall Envelope: \$1,900,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

North Darfur: Zamzam IDP camp

East Darfur: Abu Karinka, Adila

Blue Nile: El Damazine, El Roseires

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

Nutrition Sector Overview

Overall Envelope: \$3,700,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

North Darfur: Ailliet, Dar El Salam and Shengil Tobaya, El Fasher (Zamzam, Korma and rural El Fasher), El Malha, El Taweisha, Mellit, Umm Keddada
Central Darfur: Bindisi, Zalingei, Umm Dukhun, Azum, Mukjar

East Darfur: Abu Jabra, Assalaya, El Ferdous, Yassin

South Darfur: Alwehda, El Salam, Kalma Camp, Kass, Katayla, Marshang, Rahad El Berdi

Blue Nile and Kordofans: Al Tadamon, El Roseires, Wad Banda

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

Protection Sector Overview

Overall Envelope: \$2,350,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

Blue Nile: Al Tadamon, Bau, El Kurmuk, El Roseires

South Kordofan: Abu Kashola (mine action only), Dellami, Dilling, Leri, Talodi

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

WaSH Sector Overview

Overall Envelope: \$3,800,000

Common priority areas:

North Darfur : Kutum, Sortony in Kabkabiya locality, Tawilla, Umm Baru (*multi-sector response*)

Central Darfur: Nertiti, Rokoro (including Fanga Suk)

East Darfur: Sheiria

Sector-specific priority areas:

Central Darfur: Umm Dukhun

North Darfur: Zamzam, El Tina, Kornoi

East Darfur: Abu Karinka

West Darfur: Kulbus

South Darfur: Kass camp, Kalma camp

**Total 68 localities/28 localities prioritized by 2 or more sectors (for multi-sectoral projects)*

South Kordofan IDP protection-centred pilot consortium

Overall Envelope: \$2,500,000

- In line with the second strategic objective of the 2015 HRP “*To provide humanitarian protection to affected populations*”, the response to IDP needs in this area is aimed to be carried-out by a multi-sectorial NGO consortium under the lead of Protection. This means that an organization with protection activities leads the consortium in which it includes responses to fill critical gaps in other sectors but ensuring protection is mainstreamed throughout all sectors.
- Localities to address IDP needs are 3 adjacent localities in the ‘eastern corridor’ of the South Kordofan state prioritised by the Protection IASC sector and other sectors, namely **Abu Jabaiha, Rashad and El Abassyia**.
- The total envelope for IDPs is \$2.5 million of which \$500,000 is reserved for protection.



Sudan
Humanitarian
Fund

SHF Fund Allocation Modalities

Standard Allocation Workflow

29 Feb – 15 Mar



- Partners submit concept notes (14 days)
- General check by SHF TU (1 day)

16 – 29 Mar



- Review of concept notes using scorecards
- Recommendation of shortlisted projects for HC approval
- Review of multi-sector projects (optional)

30 Mar – 4 Apr



- Submission of selected projects to AB
- HC pre-approval of shortlisted projects

5 Apr – 26 Apr



- Partners prepare full proposal (6 days)
- Financial and technical review by TRC of full proposal

27 Apr – 10 May



- Approved allocation letters are sent out
- PPAs prepared and sent to implementing partners

10 – 16 May



- UN Agencies: Full amount is released when acceptance letter is received
- NGOs: First tranche is released when PPA and payment request are received

Standard Allocation Process



Sudan
Humanitarian
Fund

SHF Fund Allocation Modalities

Scoring Criteria

Project Scorecard

Category Questions												
					CN = CONCEPT NOTE							
A Eligibility					Yes/No							
B Strategic relevance					35							
C Programmatic relevance					25							
D Cost effectiveness					15							
E Management and monitoring					15							
F Engagement with coordination					10							
G Previous performance					Yes/No							
B Strategic relevance			Weighting		C Programmatic relevance			Weighting		D Cost effectiveness		Weighting
B1 The proposed project strategy is in line with the sector strategies			30		C1 CN clearly defines humanitarian needs (fully 5 pts, average 2 pts, not clearly 0 pts) and describes needs identification process (fully 5 pts, average 2 pts, not clearly 0 pts)			10		D1 Cost per beneficiary is in line with sector benchmarks (fully 10 pts, somehow 5 pts, not 0 pts)		10
B2 CN activities take place one of the in the commonly prioritised localities (commonly prioritised loc = 25pts; others 0 pts)			25		C2 Beneficiaries are clearly defined and described (fully 2.5 pts, not completely 0 pts), and the number of beneficiaries is clearly explained (fully 2.5 pts, not completely 0 pts)			5		D2 The budget is aligned with the activities and outputs (fully 10 pts, somehow 5 pts, not 0 pts)		10
B3 CN includes sustainable project strategies in accordance with the type of needs addressed. (fully 10 pts, somehow 5 pts, not 0 pts)			10		C3 CN clearly links objectives to outputs and activities. (fully 10 pts, somehow 5 pts, not 0 pts)			10				
					C4 Project is multi-sector (can be through inclusion of indicators of other sectors) (3 points per sector up to maximum 9 pts)			9				
					C5 Project is a consortium as defined in this allocation paper (10 pts if YES, not 0 pts)			10				
					C6 Sufficient attention is given to Gender (6 points for 2b, 4 points for 2a, 1 point for 1 and n/a, 0 otherwise)			6				
Total weighting score			65		Total weighting score			50		Total weighting score		20
E Management and monitoring			Weighting		F Engagement with coordination			Weighting				
E1 CN indicators are SMART: Specific (3 pts), Measurable (3 pts), Achievable (3 pts), Realistic (3 pts) Time-bound (3 pts)			15		F1 Partner actively participates in national (fully 5 pts, somehow 2 pts, not 0 pts) and/or sub-national (fully 5 pts, somehow 2 pts, not 0 pts) sector coordination of activity are a			10				
E2 Partner is already established in locality (5 pts if Yes, 3 points if present in some of the project localities, 0 points if not)			5		F2 Partner has previous experience in the thematic area. (10 pts for strong experience, 5 pts for medium experience, 0 pts for no experience)			10				
Total weighting score			20		Total weighting score			20				

Common Pipeline Scorecard

Category Questions for common pipelines

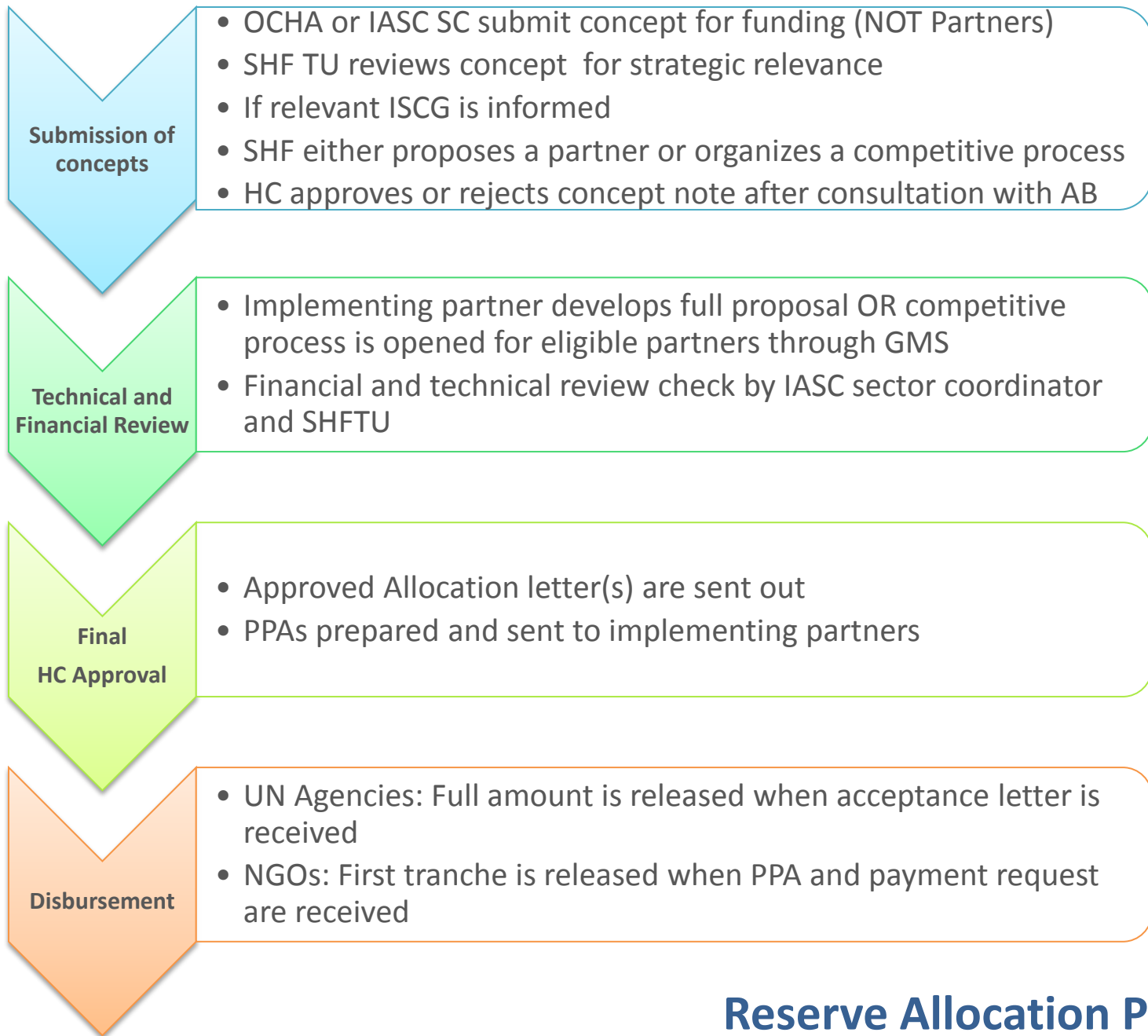
Category Questions for common pipelines						
						CN = CONCEPT NOTE
A	Eligibility		Yes/No			
B	Strategic relevance		35			
C	Programmatic relevance		25			
D	Cost effectiveness		15			
E	Management and monitoring		15			
F	Engagement with coordination		10			
G	Previous performance		Yes/No			
B Strategic relevance Weighting						
B1	Clear focus/strategy of the pipeline (clear 35 pts, mostly clear 20 pts, not very clear 10 pts, not clear 0 pts)		35	C Programmatic relevance Weighting		D Cost effectiveness Weighting
				C1	Access to SHF partners (fully 15 pts, somehow 7 pts, not 0 pts)	D1
					15	Cost effectiveness is demonstrated (fully 15 pts, somehow 7 pts, not 0 pts)
				C2	Transparency and decision mechanisms (fully 10 pts, somehow 5 pts, not 0 pts)	15
					10	
Total weighting score			35	Total weighting score		25
Total weighting score			35	Total weighting score		15
E Management and monitoring Weighting						
E1	Pipeline projects shows clear indicators to measure value added (clear 15 pts, somehow 7 pts, not 0 pts)		15	F Engagement with coordination Weighting		
				F1	complementarity of the pipeline with other funding and lead agency funding (clear complementarity 10 pts, somehow 5 pts, not 0 pts)	
					10	
Total weighting score			15	Total weighting score		10
Total weighting score			15	Total weighting score		10



Sudan
Humanitarian
Fund

SHF Fund Allocation Modalities

Reserve Allocation Workflow



Reserve Allocation Process